



13049 U.S. PTO

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PTO/SB/05 (03/01)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|---|--|---|---|--|--------------|--|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | Attorney Docket Number | | ELAN-01114US1 | | |
| | | Inventor | | Alexander Fairgrieve | | |
| | | Title | Adjustable Power Control for Laser Drivers and Laser Diodes | | | |
| | | Express Mail Label No. | | EV 327 619 215 US | | |
| APPLICATION ELEMENTS See MPEP Chapter 600 concerning Utility Patent Application Contents | | | | ADDRESS TO: Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450 | | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/17) (in duplicate for fee processing) | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix) | | | | |
| 2. <input type="checkbox"/> Applicant claims small entity status (See 37 CFR 1.27) | | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | | | |
| 3. <input checked="" type="checkbox"/> Specification Total Pages <u>17</u> (preferred arrangement set forth below) | | a. <input type="checkbox"/> Computer Readable Form (CRF) | | | | |
| ■ Descriptive Title of the invention | | b. Specification Sequence Listing on: | | | | |
| ■ Cross Reference to Related Applications | | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or | | | | |
| ■ Statement Regarding Fed Sponsored R & D | | ii. <input type="checkbox"/> paper | | | | |
| ■ Reference to Sequence Listing, a table or computer program listing Appendix | | c. <input type="checkbox"/> Statements verifying identity of above | | | | |
| ■ Background of the Invention | | ACCOMPANYING APPLICATION PARTS | | | | |
| ■ Brief Summary of the Invention | | 9. <input checked="" type="checkbox"/> Assignment Papers (Cover Sheet & Document(s)) <u>3</u> Total Pages | | | | |
| ■ Brief Description of the Drawing(s) (if filed) | | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) | | | | |
| ■ Detailed Description | | 11. <input type="checkbox"/> English Translation Document (if applicable) | | | | |
| ■ Claim(s) <u>6</u> | | 12. <input type="checkbox"/> Information Disclosure Statement <u> </u> IDS ((IDS)/PTO-1449) <u> </u> Total Pages Citations | | | | |
| ■ Abstract of the Disclosure | | 13. <input type="checkbox"/> Preliminary Amendment <u> </u> Total Pages | | | | |
| 4. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) Total Sheets <u>4</u> | | 14. <input checked="" type="checkbox"/> Return Postcard, specifically itemized (MPEP 503) | | | | |
| 5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <u>1</u> | | 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | |
| a. <input checked="" type="checkbox"/> Newly Executed | | 16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)B(i). Applicant must attach form PTO/SB/35 or its equivalent. | | | | |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | | 17. <input type="checkbox"/> Other | | | | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) | | | | | | |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 <u>3</u> Total Pages | | | | | | |
| 18. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u> </u> Prior application information: Examiner: <u> </u> Group/Art Unit: <u> </u> For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | |
| <input checked="" type="checkbox"/> Customer Number 23910 or <input type="checkbox"/> Correspondence Address below | | | | | | |
| NAME | | Fliesler Dubb Meyer & Lovejoy LLP | | | | |
| ADDRESS | | Four Embarcadero Center, Fourth Floor | | | | |
| CITY | | San Francisco | STATE | CA | ZIP CODE | |
| COUNTRY | | USA | TELEPHONE | 415/362-3800 | FAX | |
| | | | | | 415/362-2928 | |
| Name (Print/Type) | | Jeffrey R. Kurin | | Registration No. (Attorney/Agent) | | |
| Signature | | | | 41,132 | | |
| | | Date | | February 4, 2004 | | |



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| 2003 FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1,104.00) | Complete if Known Application Number New Application Filing Date February 4, 2004 Inventor Alexander Fairgrieve Group Art Unit New Application Examiner Name New Application Attorney Docket Number ELAN-01114US1 |
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| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†] <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Deposit Account Number: 06-1325 Deposit Account Name: Fliesler Dubb Meyer & Lovejoy 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Other | 3. ADDITIONAL FEES <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Due</th> </tr> </thead> <tbody> <tr><td>1051/\$130</td><td>2051/\$65</td><td>Surcharge - late filing fee or oath</td><td style="text-align: right;">[]</td></tr> <tr><td>1052/\$50</td><td>2052/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td style="text-align: right;">[]</td></tr> <tr><td>1812/\$2,520</td><td>1812/\$2,520</td><td>For filing a request for reexamination</td><td style="text-align: right;">[]</td></tr> <tr><td>1251/\$110</td><td>2251/\$55</td><td>Extension for response within first month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1252/\$420</td><td>2252/\$210</td><td>Extension for response within second month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1253/\$950</td><td>2253/\$475</td><td>Extension for response within third month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1254/\$1,480</td><td>2254/\$740</td><td>Extension for response within fourth month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1255/\$1,970</td><td>2255/\$985</td><td>Extension for response within fifth month[†]</td><td style="text-align: right;">[]</td></tr> <tr><td>1401/\$320</td><td>2401/\$160</td><td>Notice of Appeal</td><td style="text-align: right;">[]</td></tr> <tr><td>1453/\$1,300</td><td>2453/\$650</td><td>Petition to revive unintentionally abandoned application</td><td style="text-align: right;">[]</td></tr> <tr><td>1501/\$1,330</td><td>2501/\$665</td><td>Utility Issue Fee (Or Reissue)</td><td style="text-align: right;">[]</td></tr> <tr><td>1502/\$470</td><td>2502/\$235</td><td>Design Issue Fee</td><td style="text-align: right;">[]</td></tr> <tr><td>1460/\$130</td><td>1460/\$130</td><td>Petitions to the Commissioner</td><td style="text-align: right;">[]</td></tr> <tr><td>1814/\$110</td><td>2814/\$55</td><td>Statutory Disclaimer</td><td style="text-align: right;">[]</td></tr> <tr><td>1806/\$180</td><td>1806/\$180</td><td>Submission of Information Disclosure Statement</td><td style="text-align: right;">[]</td></tr> <tr><td>8021/\$40</td><td>8021/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td style="text-align: right;">40</td></tr> <tr><td>1809/\$750</td><td>2809/\$375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td style="text-align: right;">[]</td></tr> <tr><td>1801/\$770</td><td>2801/\$385</td><td>Request for Continued Examination (RCE)</td><td style="text-align: right;">[]</td></tr> <tr><td colspan="3">Other fee (specify):</td><td style="text-align: right;">[]</td></tr> <tr><td colspan="3">Other fee (specify):</td><td style="text-align: right;">[]</td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td style="text-align: right;">(\$ 40)</td> </tr> </tbody> </table> | Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due | 1051/\$130 | 2051/\$65 | Surcharge - late filing fee or oath | [] | 1052/\$50 | 2052/\$25 | Surcharge-late provisional filing fee or cover sheet | [] | 1812/\$2,520 | 1812/\$2,520 | For filing a request for reexamination | [] | 1251/\$110 | 2251/\$55 | Extension for response within first month [†] | [] | 1252/\$420 | 2252/\$210 | Extension for response within second month [†] | [] | 1253/\$950 | 2253/\$475 | Extension for response within third month [†] | [] | 1254/\$1,480 | 2254/\$740 | Extension for response within fourth month [†] | [] | 1255/\$1,970 | 2255/\$985 | Extension for response within fifth month [†] | [] | 1401/\$320 | 2401/\$160 | Notice of Appeal | [] | 1453/\$1,300 | 2453/\$650 | Petition to revive unintentionally abandoned application | [] | 1501/\$1,330 | 2501/\$665 | Utility Issue Fee (Or Reissue) | [] | 1502/\$470 | 2502/\$235 | Design Issue Fee | [] | 1460/\$130 | 1460/\$130 | Petitions to the Commissioner | [] | 1814/\$110 | 2814/\$55 | Statutory Disclaimer | [] | 1806/\$180 | 1806/\$180 | Submission of Information Disclosure Statement | [] | 8021/\$40 | 8021/\$40 | Recording each patent assignment per property (times number of properties) | 40 | 1809/\$750 | 2809/\$375 | Filing a submission after final rejection (37 CFR 1.129(a)) | [] | 1801/\$770 | 2801/\$385 | Request for Continued Examination (RCE) | [] | Other fee (specify): | | | [] | Other fee (specify): | | | [] | SUBTOTAL (3) | | | (\$ 40) |
| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1051/\$130 | 2051/\$65 | Surcharge - late filing fee or oath | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1052/\$50 | 2052/\$25 | Surcharge-late provisional filing fee or cover sheet | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1812/\$2,520 | 1812/\$2,520 | For filing a request for reexamination | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1251/\$110 | 2251/\$55 | Extension for response within first month [†] | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1252/\$420 | 2252/\$210 | Extension for response within second month [†] | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1253/\$950 | 2253/\$475 | Extension for response within third month [†] | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1254/\$1,480 | 2254/\$740 | Extension for response within fourth month [†] | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1255/\$1,970 | 2255/\$985 | Extension for response within fifth month [†] | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1401/\$320 | 2401/\$160 | Notice of Appeal | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1453/\$1,300 | 2453/\$650 | Petition to revive unintentionally abandoned application | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1501/\$1,330 | 2501/\$665 | Utility Issue Fee (Or Reissue) | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1502/\$470 | 2502/\$235 | Design Issue Fee | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1460/\$130 | 1460/\$130 | Petitions to the Commissioner | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1814/\$110 | 2814/\$55 | Statutory Disclaimer | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1806/\$180 | 1806/\$180 | Submission of Information Disclosure Statement | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8021/\$40 | 8021/\$40 | Recording each patent assignment per property (times number of properties) | 40 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1809/\$750 | 2809/\$375 | Filing a submission after final rejection (37 CFR 1.129(a)) | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1801/\$770 | 2801/\$385 | Request for Continued Examination (RCE) | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other fee (specify): | | | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Other fee (specify): | | | [] | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL (3) | | | (\$ 40) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| 1. FILING FEE | | 2. CLAIMS | |
|------------------------------|------------------------------|--------------------|-----------------|
| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
| 1001/\$770 | 2001/\$385 | Utility Filing | 770 |
| 1002/\$330 | 2002/\$165 | Design Filing | [] |
| 1004/\$750 | 2004/\$375 | Reissue | [] |
| 1005/\$160 | 2005/\$80 | Provisional Filing | [] |
| SUBTOTAL (1) | | | (\$ 770) |

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|---|---------|
| 1202/\$18 | 2202/\$9 | Claims in excess of 20 | [] |
| 1201/\$86 | 2201/\$43 | Independent claims in excess of 3 | [] |
| 1203/\$280 | 2203/\$140 | Multiple dependent claim | [] |
| 1204/\$86 | 2204/\$43 | Reissue independent claims over original patent | [] |
| 1205/\$18 | 2205/\$9 | Reissue claims in excess of 20 and over original patent | [] |

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2)**(\$ 294)**

| SUBMITTED BY | | Complete (if applicable) | |
|-----------------------|------------------|--------------------------|------------------|
| Typed or Printed Name | Jeffrey R. Kurin | Reg. Number | 41,132 |
| Signature | | Date | February 4, 2004 |